

---

## This paper will be considered in public

### 1 Summary

- 1.1 This paper provides an update on the project assurance work undertaken between 12 November 2023 and 6 January 2024 (Period 9 to Period 10 of 2023/24). Three programme reviews were undertaken during this time, with the Independent Investment Programme Advisory Group (IIPAG) involved in all three. In the same timeframe seven project reviews were undertaken, with IIPAG involved in three of these.
- 1.2 These reviews gave rise to a total of 48 recommendations being made, of which four were considered to be critical issues. Critical issues are those that the TfL Project Assurance team or IIPAG believe should be addressed before projects proceed to the next stage.
- 1.3 A paper is included on Part 2 of the agenda which contains exempt supplemental information that is exempt from publication by virtue of paragraph 3 of Schedule 12A of the Local Government Act 1972 in that it contains information relating to the business affairs of TfL. Any discussion of that exempt information must take place after the press and public have been excluded from this meeting.

### 2 Recommendation

- 2.1 **The Committee is asked to note the paper and the exempt supplementary information on Part 2 of the agenda.**

### 3 Background

- 3.1 This update provides the Committee with a summary of the second line assurance, provided by TfL's Project Assurance team, and third line assurance, provided by IIPAG.

### 4 Summary of Project Assurance Activity

- 4.1 From the reviews undertaken, TfL Project Assurance made 33 recommendations, of which two were critical issues. All of the recommendations have been agreed by the project teams concerned.
- 4.2 IIPAG made 15 recommendations, of which two were critical issues. The recommendations were all agreed with the respective project teams.

## 5 Status of IIPAG and Project Assurance Recommendations

5.1 The following graphs show the number of open recommendations, the number that are overdue, and the number closed as at the end of Period 5 (ending 19 August 2023), Period 8 (ending 11 November 2023) and Period 10 (ending 6 January 2024).

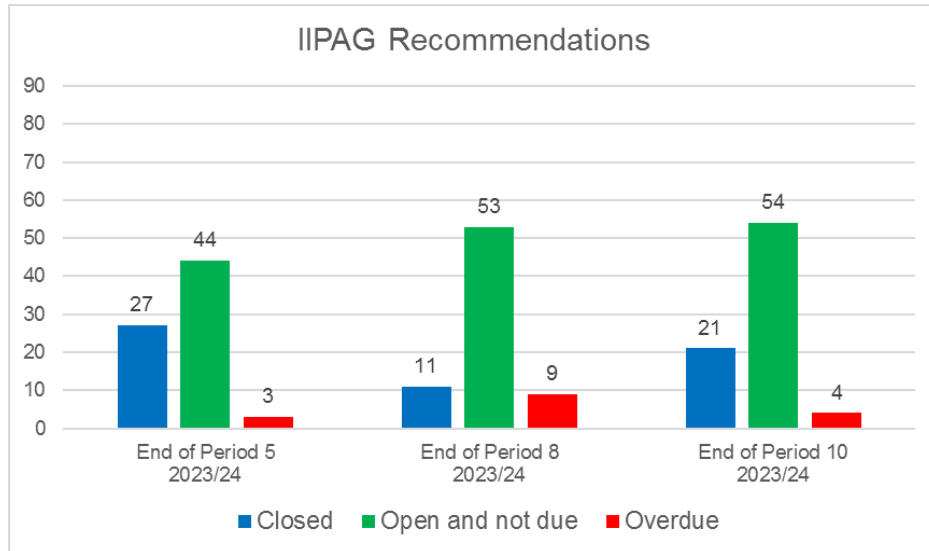


Figure 1: IIPAG Recommendations

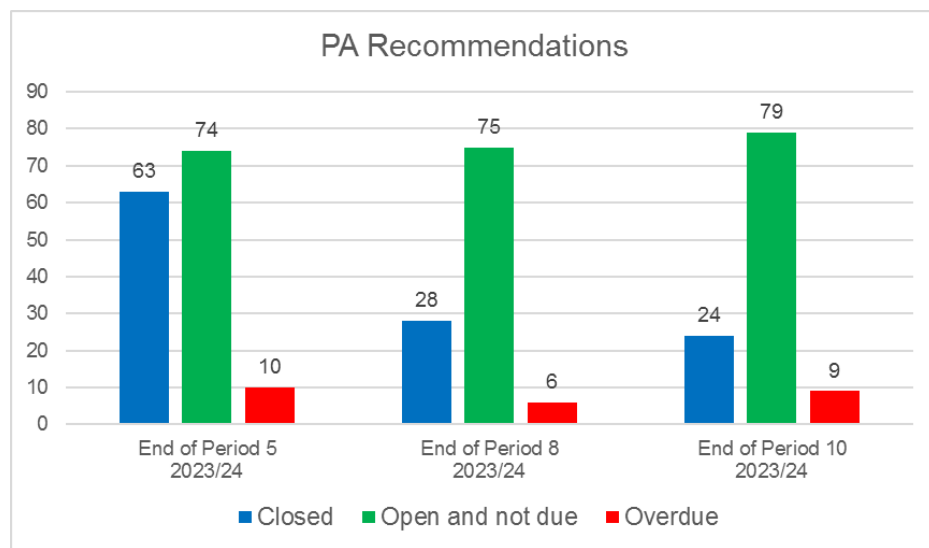


Figure 2: Project Assurance Recommendations

5.2 The following graphs show the length of time that the overdue Project Assurance and IIPAG recommendations have been overdue by, as at the end of Period 10, and the Chief Officer area responsible for addressing the recommendations.



**Figure 3: Overdue IIPAG Recommendations**



**Figure 4: Overdue Project Assurance Recommendations**

5.3 The following graph shows the number of recommendations made over the last four quarters grouped by recommendation topic. This is shown against the number of recommendations made in the previous year.



**Figure 5: Project Assurance Recommendations by Topic  
(Totals over last four quarters versus previous year)**

**List of appendices to this paper:**

Exempt supplementary information is included in a paper on Part 2 of the agenda.

**List of background papers:**

None

Contact Officer: Andrea Clarke, Interim General Counsel  
 Email: [AndreaClarke@tfl.gov.uk](mailto:AndreaClarke@tfl.gov.uk)